

# INVESTING

## IN STOCKS, BONDS, AND EXCHANGE TRADED FUNDS

Build a solid foundation in key investment instruments, stocks, bonds, and ETFs, and learn how each fits into a diversified portfolio strategy.



**8 JULY**

**SIDC CPE-APPROVED: 10 CPE POINTS**

9am-5pm

Concorde Hotel, Kuala Lumpur



# Investing in Stocks, Bonds, and Exchange Traded Funds



## Module 1: Introduction

- Overview of course structure and learning expectations
- Structure and key participants in the Malaysian capital market
- Overview of regulatory expectations in Malaysia
- Role of Bursa Malaysia in equity and ETF markets
- Role of Securities Commission Malaysia in regulation and market development
- Overview of Shariah-compliant investment ecosystem in Malaysia

## Module 2: Equity Investing

- Characteristics of equity and shareholder rights
- Overview of Bursa Malaysia market structure and key sectors
- Understanding key drivers of stock performance in the Malaysian context
- Introduction to intrinsic value using discounted cash flow
- Practical application of relative valuation metrics
- Considerations for Shariah-compliant equities

## Module 3: Fixed-Income Investments (Bonds and Sukuk)

- Key features of bonds and sukuk
- Differences between conventional bonds and sukuk structures
- Malaysian fixed income market overview
- Credit risk, ratings, and default considerations
- Interest rate movements and yield curve interpretation (MGS vs global benchmarks)
- Role of fixed income and sukuk in portfolio stability and income generation

## Module 4: Exchange Traded Funds (ETFs)

- Understanding ETF structures and mechanics
- Types of ETFs available in Malaysia and globally
- Comparing ETFs with mutual funds and unit trusts
- Use of ETFs for diversification and asset allocation
- Overview of Bursa-listed ETFs and cross-border ETF
- Key risks and considerations when selecting ETFs

## Module 5: Portfolio Integration & Product Governance

- Strategic vs. tactical asset allocation
- Growth vs. value strategies in a volatile market
- Role of diversification across asset classes
- Balancing risk, return, and liquidity in portfolio design

## Module 6: Portfolio Integration & Product Governance

- Product governance principles under Securities Commission Malaysia guidelines
- Target market identification and product suitability
- Risk profiling and client suitability assessment
- Product lifecycle considerations
- Responsibilities of capital market intermediaries

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## TRAINER'S PROFILE

The Trainer is an HRDF-accredited trainer who regularly delivers high-impact investment seminars for Malaysia's leading financial institutions. His expertise spans a wide spectrum of finance, including economics, portfolio management, fundamental and technical analysis, ethics, fraud prevention, insider trading, digital assets, and artificial intelligence.

A Certified Public Accountant (California, U.S.A.) with more than 30 years of experience in the financial sector, he began his career as an auditor with Ernst & Young in Washington, D.C. He now serves as a licensed equity dealer with a major Malaysian stockbroker, bringing practical market insights to his teaching and training. He holds a PhD in Finance from Monash University Malaysia. He also earned a Master of Science in Accounting from the University of Delaware and a Bachelor of Science in Accounting from the University of Louisiana at Lafayette.

## REGISTRATION DETAILS

### PARTICIPANT DETAILS

Name:
Position:
Department:
Contact Number:
Email:
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Name:
Position:
Department:
Contact Number:
Email:

### ADMIN DETAILS

Name:
Position:
Department:
Company:
Contact Number:
Email:
Address:
<b>Payment Method:</b> <input type="checkbox"/> Direct Payment <input type="checkbox"/> Claim HRD

#### Notes:

- Cancellations made less than 14 days before the training date or non-attendance on the day of training are non-refundable. Substitution is allowed.
- Once registration is confirmed, the client is fully liable for the course fee, regardless of whether payment is made directly or through the HRDC grant, and even if participants do not attend the training.
- Clients who opt for direct payment must ensure full payment is made before the training date.
- HRDC grant applications must be submitted and approved before the training day. The maximum claimable amount is RM1,750 per participant per day. Any shortfall between the approved grant and the course fee must be topped up by the client.
- Should the number of confirmed participants be too low to ensure a meaningful learning experience, Symphony reserves the right to postpone or cancel the training.