

**10 SIDC CPE Points**

EMERGING THREATS & STRATEGIC RESPONSES IN AML/CPT/CPF COMPLIANCE

FEE**RM1,999/Participant
(Including SST)****VENUE****HOTEL STRIPES,
KUALA LUMPUR****PUBLIC
TRAINING****10 SIDC CPE
Points**

This AML/CFT/CPF training programme is designed to equip banking staff with in-depth knowledge and strategic competencies in managing complex risks related to Money Laundering (ML), Terrorism Financing (TF) and Proliferation Financing (PF). The programme explores advanced compliance responsibilities including the effective implementation of the ML/TF/PF typologies, Risk-Based Approach (RBA), Enhanced Customer Due Diligence (EDD) and end-to-end compliance with Targeted Financial Sanctions (TFS). Participants will analyse emerging typologies and red flags, apply advanced risk controls and assess enforcement actions and supervisory expectations. Real-world case studies and regulatory findings will be used to enhance judgment, sharpen investigative approaches, and improve institutional response frameworks.

COURSE OUTLINE



Module 1: Emerging Trends in ML/TF/PF

- Definitions and key differences
- Technology-driven laundering
- Abuse of professional services and complex structures
- High-value asset integration
- Misuse of legitimate channels
- Informal and illicit networks
- Abuse of legitimate trade and goods
- Complex procurement and supply chain manipulation
- Case studies analysis

Module 2: Mitigating ML, PF & TF Risks

- High-risk goods, jurisdictions, and transaction patterns
- Due diligence and escalation processes specific to PF/TF
- Use of watchlists, dual-use goods and end-user screening
- Case studies involving ML/PF/TF

Module 3: Sanctions Compliance and Regulatory Expectations

- Sanctions Governance Framework
- Overview of international sanctions regimes (UN, OFAC, EU) and their global implications
- Key Malaysian regulatory requirements under BNM guidelines and AMLATFPUAA 2001
- Obligations in identifying and managing sanctions risks
- Screening practices, escalation procedures, and enforcement consequences for non-compliance
- Case Studies: Sanctions Manoeuvring by Russia, Iran and North Korea

TRAINER'S PROFILE

The Trainer was a consultant, compliance practitioner and non-executive director of both Labuan investment bank and leading global foreign exchange services company based in Europe. He comes with 27 years of working experience in various international banks including Labuan offshore banks, which has given him invaluable experience and international exposure in dealing with people from diverse backgrounds and cultures. He holds a Bachelor of Jurisprudence from the University of Malaya, a Bachelor of Business Administration from Universiti Utara Malaysia, and a Master of Commercial Law from the University of Malaya. He has an Advanced Certification in Anti-Money Laundering jointly awarded by the Asian Institute of Chartered Bankers (AICB) and International Compliance Association in collaboration with University of Manchester Business School, UK, Certified Integrity Officer (CeIO) awarded by Malaysia Anti-Corruption Academy (MACA), and holds a Certificate in Sustainable Finance awarded by United Nations Institute for Training and Research (UNITAR).

The Trainer specialises in and delivers training on financial crime, anti-money laundering (AML), international sanctions, anti-bribery and corruption (ABC), and is a HRDC-accredited trainer. He is a member of AICB since 1996 and Transparency International Malaysia, an independent, non-governmental and non-partisan organisation committed to the fight against corruption. He is often engaged to help organisations and the public raise awareness and remain vigilant against financial crimes such as online scams and money laundering where these set of session are driven towards detecting and preventing any individual or organisation from falling victim to organised crime syndicates. He is often engaged by senior management and board of directors' to help them with effective compliance programme strategy. In 2022, he presented at a Mongolian forum on "Managing Compliance Risks: e-Know Your Customer," jointly organised by the Regulatory Commission of Mongolia and the Financial Education HUB.

One Training, 4 Available Sessions – Choose the One That Suits You Best!



**Training
Date:**

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9 Sept

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18 Sept

PARTICIPANT DETAILS

Name:

Position:

Department:

Contact Number:

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ADMIN DETAILS

Name:

Position:

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Company:

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Address:

Payment Method

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HRD Claim

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Direct Payment

TERMS & CONDITIONS

- Cancellations made less than 14 days before the training date or non-attendance on the day of training are non-refundable. Substitution is allowed.
- Once registration is confirmed, the client is fully liable for the course fee, regardless of whether payment is made directly or through the HRDC grant, and even if participants do not attend the training.
- Clients who opt for direct payment must ensure full payment is made before the training date.
- HRDC grant applications must be submitted and approved before the training day. The maximum claimable amount is RM1,750 per participant per day, unless the course is approved as an Industry-Specific Training under HRDC, in which case the full course fee may be claimable. Any shortfall between the approved grant and the course fee must be topped up by the client.
- Should the number of confirmed participants be too low to ensure a meaningful learning experience, Symphony reserves the right to postpone or cancel the training.