



FRAUD IN TREASURY

**10 SIDC CPE
Points**

VENUE

**Hotel Stripes, Kuala
Lumpur**

FEE

**RM1,999 / Participant
(including SST)**

Fraud committed through treasury activities in the financial markets can result in severe direct financial loss, reputational damage, regulatory fines and remediation costs due to the high value, volume and velocity of the transactions - in addition to the complexity of treasury instruments and the inter-dependencies among market participants, service providers and payment infrastructures. While market and credit risks are inherent to treasury activities, this course provides participants with an in-depth understanding that fraud typically stems from internal process failures, insufficient controls, or collusion rather than market movements or counterparty credit quality. The programme is designed for practitioners with practical examples presented for participants to critically analyse the front-, middle-, and back-office vulnerabilities, followed by a discussion on how best to improve internal controls and governance mechanisms aligned with regulatory expectations while at the same time also promoting a culture of integrity and compliance within treasury and risk management teams.



Module 1: Introduction to Treasury & Fraud

- Overview of treasury functions and instruments
- Overview of the principles and standards outlined in the Code of Conduct for Malaysia Wholesale Financial Markets
- Fraud is an Operational Risk
- Common fraud schemes in treasury (e.g., rogue trading, mispricing, unauthorized transactions)
- Case studies and discussion

Module 2: Fraud Risk Assessment in Treasury

- Mapping fraud risks across front, middle, and back office
- Identifying red flags and behavioural indicators
- Risk scoring and prioritisation

Module 3: Regulatory & Compliance Framework

- BNM, Financial Market Association
- Internal audit and compliance monitoring
- Reporting obligations and whistleblower protections
- Shariah governance and ethical considerations in Islamic treasury operations

Module 4: Internal Controls & Governance

- Segregation of duties and maker-checker controls
- Treasury policy enforcement and escalation protocols
- Role of internal audit and Shariah audit in fraud prevention

Module 5: Technology & Data Analytics in Fraud Detection

- Use of AI, machine learning, and anomaly detection
- Real-time monitoring tools and dashboards
- Cybersecurity threats in treasury systems

Module 6: Fraud Investigation & Response

- Conducting internal investigations
- Evidence gathering and documentation
- Legal considerations and disciplinary actions
- Practical example and Discussion

Module 7: Building a Fraud-Resilient Treasury Culture

- Ethics and conduct training
- Tone from the top and leadership accountability
- Continuous improvement and lessons learned

Trainer's Profile

The Trainer was a banker for 30 years, specialising in treasury, capital markets and international payment systems, working in both domestic and international markets. His last corporate position was with Islamic Development Bank ("IDB") in Jeddah, Saudi Arabia. Prior to his stint with IDB, he was the Chief Treasurer of Kuwait Finance House (Malaysia) Berhad and the Principal Officer and Director of Kuwait Finance House (Labuan) Berhad. Other than his banking experience, the Trainer had a stint as a Research Fellow at the International Shariah Research Academy for Islamic Finance ("ISRA"), and has had speaking engagements on Islamic Liquidity Management organised by INCEIF and Islamic Capital and Money Market organized by the Hong Kong Monetary Authority (HKMA) and Hong Kong Treasury Markets Association (TMA). The Trainer has an MBA Islamic Banking and Finance from International Islamic University, Malaysia and a BSc Finance and Economics from the University of Tennessee at Chattanooga, USA.

One Training, 4 Available Sessions – Choose the One That Suits You Best!



Training Date:

☐ 28 AUG
10 SIDC CPE Points

☐ 4 Sept
10 SIDC CPE Points

☐ 8 Sept

☐ 11 Sept

PARTICIPANT DETAILS

Name:

Position:

Department:

Contact Number:

Email:

Name:

Position:

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Contact Number:

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Name:

Position:

Department:

Contact Number:

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Department:

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Name:

Position:

Department:

Contact Number:

Email:

ADMIN DETAILS

Name:

Position:

Department:

Company:

Contact Number:

Email:

Address:

Terms & Conditions

- Cancellations made less than 14 days before the training date or non-attendance on the day of training are non-refundable. Substitution is allowed.
- Once registration is confirmed, the client is fully liable for the course fee, regardless of whether payment is made directly or through the HRDC grant, and even if participants do not attend the training.
- Clients who opt for direct payment must ensure full payment is made before the training date.
- HRDC grant applications must be submitted and approved before the training day. The maximum claimable amount is RM1,750 per participant per day, unless the course is approved as an Industry-Specific Training under HRDC, in which case the full course fee may be claimable. Any shortfall between the approved grant and the course fee must be topped up by the client.
- Should the number of confirmed participants be too low to ensure a meaningful learning experience, Symphony reserves the right to postpone or cancel the training.