

# Application of **Enterprise Risk Management** in the Current Business Environment

**5 & 6 September 2018**

**10 SIDC  
CPE Points**

**HRDF SBL  
Claimable**

**\* The trainer is a former Executive Director  
of KPMG Bangkok and consultant to MACC.**

## **Symphony Digest**

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After the 1997 and 2008 economic crises, companies began to take risk management seriously. Too often in the past, risk management had been reactive rather than proactive. In this Risk Management training course you will learn using a systematic approach the principles, techniques and tools of risk identification, control and mitigation. Combined with interactive exercises, this training will enable you to apply risk management principles and techniques to your work place.

### **Who Should Attend?**

- ✓ **Capital Market Professionals Corporate Bankers**
- ✓ **Commercial Bankers**
- ✓ **Risk Managers**
- ✓ **Compliance Managers**
- ✓ **Internal Auditors**
- ✓ **Advisors**
- ✓ **Regulators**

# Course Outline

## **ERM –Why it is important?**

- Definition of risk
- Case Studies

## **Understand international ERM standards:**

- ISO 31000:2009, Risk management
- COSO Enterprise Risk Management

## **Application of ERM in business environment:**

- Establish the context - Linking risk to strategic objectives
  - Strategic analysis
  - Business process analysis
- Risk and internal controls
- Risk appetite/risk tolerance levels and its application
- Monitoring and reporting

## **Familiarization the risk assessment process - Step-by-steps risk assessment including:**

### ***Risk Identification***

- Types of risk identification techniques
- Root cause analysis
- Identify risks in key business process

### ***Risk Measurement***

- Determine the risk consequences
- Determine likelihood of risk occurrence
- Ranking risks and develop risk profiles
- Develop risk parameters

### ***Control evaluation***

- Linking risks to controls
- Evaluate effectiveness of the controls
- Where does internal audit come in?

### ***Monitoring***

- Monitor risk profile
- Develop effective risk action plan
- Evaluate and monitoring of risk action plans

## **Conduct A walk-through of the risk assessment steps**

- Select a common risk and perform risk assessment

## **How to conduct a risk assessment workshop**

- Preparation
- Facilitation
- Do's and don'ts

## **Develop ERM framework – “3-lines of Defense”**

- Risk management policy and procedures
- Composition of Risk Management Committee and reporting structure
- Risk management principles

## ***Discussion points:***

### **The challenges in building a sustainable ERM:**

- Risk culture – is there one?
- How to build the capability of risk management department – “scanning the horizon”?
- Critical success factors for an effective risk management
- How to evaluate the effectiveness of risk management framework?

## **Trainer's Profile**

The trainer has over 24 years of extensive experience working in the banking, securities and derivatives markets. He joined Bursa Malaysia Berhad in 2002 as the Senior Manager of Risk Management. He served as the Head of Department for 5 different portfolios which include investor education, financial derivatives product development, business development, risk management and equity surveillance in regulation division. His last role in Bursa Malaysia was the Vice President of Investor Education Department. Prior to joining Bursa, he worked as a Treasury dealer/trader in two banks for 9 years. He is currently a speaker and private trader in the capital market.

His areas of interest are in the fields of Bank Treasury and Exchange Traded Products, Derivatives, Risk Management, Trading & Investing Strategies, Trading Psychology and Manipulation.

He holds a Master's Degree in Finance (RMIT, Australia) and a Bachelor's Degree in Business Administration (California State University, Fresno USA). His achievements include the award as the Distinguished International Graduate of the Year 1993. He is also a holder of Certified Financial Planner (CFP) and Certified Financial Risk Manager (FRM) and the Malaysia Futures and Option Registered Representative (MFORR) qualification in 1995.

# Application of ERM in the Current Business Environment (5 & 6 September 2018)

Name:	Name:
Designation:	Designation:
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Company:	Company:
Address:	Address:
Tel:	Tel:
Fax:	Fax:
Email:	Email:

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## Contact for administrative matters if different from above

Name:	Department:
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**Date: 5 & 6 September 2018**

**Workshop duration and time: 2 days, 9.00 am to 5.00 pm**

**Venue: Hotel Istana Kuala Lumpur City Centre, 73, Jalan Raja Chulan, 50200 Kuala Lumpur, Malaysia**

**Course fee** (inclusive of course materials, lunch and 2 tea breaks):

For registration before and on 22 August 2018: **RM2,150**

For registration after 22 August 2018: **RM2,550**

**Does not include GST or SST**

**Registration is confirmed upon receipt of payment, unless otherwise notified.**

## Payment Options

Cheque Bank \_\_\_\_\_ Cheque No. \_\_\_\_\_ Amount RM \_\_\_\_\_  
Payable to **Symphony Digest Sdn. Bhd.**

*\*Please write company name and programme at the back of the cheque and mail to 69-3, Block F, Zenith Corporate Park, Kelana Jaya, 47301 Petaling Jaya, Selangor.*

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*\*Please e-mail or fax (03-7806 2800) us the bank-in slip / transfer confirmation together with the completed registration form.*

*Note: For cancellations less than 14 days before event or non-arrival on the training day, no refund will be given. Substitution is allowed. Should the number of participants be too low to make the course a meaningful learning experience, Symphony reserves the right to postpone or cancel the course.*